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Autonomic IPv6 Edge Prefix Management in Large-Scale Networks

Abstract

This document defines two autonomic technical objectives for IPv6 prefix management at the edge of large-scale ISP networks, with an extension to support IPv4 prefixes. An important purpose of this document is to use it for validation of the design of various components of the Autonomic Networking Infrastructure.

Status of This Memo

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Authors' Addresses

1. Introduction

The original purpose of this document was to validate the design of the Autonomic Networking Infrastructure (ANI) for a realistic use case. It shows how the ANI can be applied to IP prefix delegation, and it outlines approaches to build a system to do this. A fully standardized solution would require more details, so this document is informational in nature.

This document defines two autonomic technical objectives for IPv6 prefix management in large-scale networks, with an extension to support IPv4 prefixes. The background to Autonomic Networking is described in [RFC7575] and [RFC7576]. The GeneRic Autonomic Signaling Protocol (GRASP) is specified by [RFC8990] and can make use of the technical objectives to provide a solution for autonomic prefix management. An important purpose of the present document is to use it for validation of the design of GRASP and other components of the ANI as described in [RFC8993].

This document is not a complete functional specification of an autonomic prefix management system, and it does not describe all detailed aspects of the GRASP objective parameters and Autonomic Service Agent (ASA) procedures necessary to build a complete system. Instead, it describes the architectural framework utilizing the components of the ANI, outlines the different deployment options and aspects, and defines GRASP objectives for use in building the system. It also provides some basic parameter examples.

This document is not intended to solve all cases of IPv6 prefix management. In fact, it assumes that the network's main infrastructure elements already have addresses and prefixes. This document is dedicated to how to make IPv6 prefix management at the edges of large-scale networks as autonomic as possible. It is specifically written for Internet Service Provider (ISP) networks. Although there are similarities between ISPs and large enterprise networks, the requirements for the two use cases differ. In any case, the scope of the solution is expected to be limited, like any Autonomic Network, to a single management domain.

However, the solution is designed in a general way. Its use for a broader scope than edge prefixes, including some or all infrastructure prefixes, is left for future discussion.

A complete solution has many aspects that are not discussed here. Once prefixes have been assigned to routers, they need to be communicated to the routing system as they are brought into use. Similarly, when prefixes are released, they need to be removed from the routing system.

Different operators may have different policies regarding prefix lifetimes, and they may prefer to have centralized or distributed pools of spare prefixes. In an Autonomic Network, these are properties decided upon by the design of the relevant ASAs. The GRASP objectives are simply building blocks.

A particular risk of distributed prefix allocation in large networks is that over time, it might lead to fragmentation of the address space and an undesirable increase in the size of the interior routing protocol tables. The extent of this risk depends on the algorithms and policies used by the ASAs. Mitigating this risk might even become an autonomic function in itself.

2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

This document uses terminology defined in [RFC7575].

3. Problem Statement

The Autonomic Networking use case considered here is autonomic IPv6 prefix management at the edge of large-scale ISP networks.

Although DHCPv6-PD (DHCPv6 Prefix Delegation) [RFC8415] supports automated delegation of IPv6 prefixes from one router to another, prefix management still largely depends on human planning. In other words, there is no basic information or policy to support autonomic decisions on the prefix length that each router should request or be delegated, according to its role in the network. Roles could be defined separately for individual devices or could be generic (edge router, interior router, etc.). Furthermore, IPv6 prefix management by humans tends to be rigid and static after initial planning.

The problem to be solved by Autonomic Networking is how to dynamically manage IPv6 address space in large-scale networks, so that IPv6 addresses can be used efficiently. Here, we limit the problem to assignment of prefixes at the edge of the network, close to access routers that support individual fixed-line subscribers, mobile customers, and corporate customers. We assume that the core infrastructure of the network has already been established with appropriately assigned prefixes. The Autonomic Networking approach discussed in this document is based on the assumption that there is a generic discovery and negotiation protocol that enables direct negotiation between intelligent IP routers. GRASP [RFC8990] is intended to be such a protocol.

3.1. Intended User and Administrator Experience

The intended experience is, for the administrators of a large-scale network, that the management of IPv6 address space at the edge of the network can be run with minimum effort, as devices at the edge are added and removed and as customers of all kinds join and leave the network. In the

ideal scenario, the administrators only have to specify a single IPv6 prefix for the whole network and the initial prefix length for each device role. As far as users are concerned, IPv6 prefix assignment would occur exactly as it does in any other network.

The actual prefix usage needs to be logged for potential offline management operations, including audit and security incident tracing.

3.2. Analysis of Parameters and Information Involved

For specific purposes of address management, each edge device will implement several parameters. (Some of them can be preconfigured before they are connected.) They include the following:

- Identity, authentication, and authorization of this device. This is expected to use the Autonomic Networking secure bootstrap process [RFC8995], following which the device could safely take part in autonomic operations.
- Role of this device. Some example roles are discussed in [Section 6.1](#).
- An IPv6 prefix length for this device.
- An IPv6 prefix that is assigned to this device and its downstream devices.

The network as a whole will implement the following parameters:

- Identity of a trust anchor, which is a certification authority (CA) maintained by the network administrators, used during the secure bootstrap process.
- Total IPv6 address space available for edge devices. It is a pool of one or several IPv6 prefixes.
- The initial prefix length for each device role.

3.2.1. Parameters Each Device Can Define for Itself

This section identifies those of the above parameters that do not need external information in order for the devices concerned to set them to a reasonable default value after bootstrap or after a network disruption. They are as follows:

- Default role of this device.
- Default IPv6 prefix length for this device.
- Cryptographic identity of this device, as needed for secure bootstrapping [RFC8995].

The device may be shipped from the manufacturer with a preconfigured role and default prefix length, which could be modified by an autonomic mechanism. Its cryptographic identity will be installed by its manufacturer.

3.2.2. Information Needed from Network Operations

This section identifies those parameters that might need operational input in order for the devices concerned to set them to a non-default value.

- Non-default value for the IPv6 prefix length for this device. This needs to be decided based on the role of this device.
- The initial prefix length for each device role.
- Whether to allow the device to request more address space.
- The policy regarding when to request more address space -- for example, if the address usage reaches a certain limit or percentage.

3.2.3. Comparison with Current Solutions

This section briefly compares the above use case with current solutions. Currently, the address management is still largely dependent on human planning. It is rigid and static after initial planning. Address requests will fail if the configured address space is used up.

Some autonomic and dynamic address management functions may be achievable by extending the existing protocols -- for example, extending DHCPv6-PD [RFC8415] to request IPv6 prefixes according to the device role. However, defining uniform device roles may not be a practical task, as some functions cannot be configured on the basis of role using existing prefix delegation protocols.

Using a generic autonomic discovery and negotiation protocol instead of specific solutions has the advantage that additional parameters can be included in the autonomic solution without creating new mechanisms. This is the principal argument for a generic approach.

3.3. Interaction with Other Devices

3.3.1. Information Needed from Other Devices

This section identifies those of the above parameters that need external information from neighbor devices (including the upstream devices). In many cases, two-way dialogue with neighbor devices is needed to set or optimize them.

- Information regarding the identity of a trust anchor is needed.
- The device will need to discover another device from which it can acquire IPv6 address space.
- Information regarding the initial prefix length for the role of each device is needed, particularly for its own downstream devices.
- The default value of the IPv6 prefix length may be overridden by a non-default value.
- The device will need to request and acquire one or more IPv6 prefixes that can be assigned to this device and its downstream devices.
- The device may respond to prefix delegation requests from its downstream devices.

- The device may require the assignment of more IPv6 address space if it used up its assigned IPv6 address space.

3.3.2. Monitoring, Diagnostics, and Reporting

This section discusses what role devices should play in monitoring, fault diagnosis, and reporting.

- The actual address assignments need to be logged for potential offline management operations.
- In general, the usage situation regarding address space should be reported to the network administrators in an abstract way -- for example, statistics or a visualized report.
- A forecast of address exhaustion should be reported.

4. Autonomic Edge Prefix Management Solution

This section introduces the building blocks for an autonomic edge prefix management solution. As noted in [Section 1](#), this is not a complete description of a solution, which will depend on the detailed design of the relevant Autonomic Service Agents (ASAs). It uses the generic discovery and negotiation protocol defined by [\[RFC8990\]](#). The relevant GRASP objectives are defined in [Section 5](#).

The procedures described below are carried out by an ASA in each device that participates in the solution. We will refer to this as the PrefixManager ASA.

4.1. Behavior of a Device Requesting a Prefix

If the device containing a PrefixManager ASA has used up its address pool, it can request more space according to its requirements. It should decide the length of the requested prefix and request it via the mechanism described in [Section 6](#). Note that although the device's role may define certain default allocation lengths, those defaults might be changed dynamically, and the device might request more, or less, address space due to some local operational heuristic.

A PrefixManager ASA that needs additional address space should firstly discover peers that may be able to provide extra address space. The ASA should send out a GRASP Discovery message that contains a PrefixManager Objective option (see [Section 2](#) of [\[RFC8650\]](#) and [Section 5.1](#)) in order to discover peers also supporting that option. Then, it should choose one such peer, most likely the first to respond.

If the GRASP Discovery Response message carries a Divert option pointing to an off-link PrefixManager ASA, the requesting ASA may initiate negotiation with that ASA-diverted device to find out whether it can provide the requested length of the prefix.

In any case, the requesting ASA will act as a GRASP negotiation initiator by sending a GRASP Request message with a PrefixManager Objective option. The ASA indicates in this option the length of the requested prefix. This starts a GRASP negotiation process.

During the subsequent negotiation, the ASA will decide at each step whether to accept the offered prefix. That decision, and the decision to end the negotiation, are implementation choices.

The ASA could alternatively initiate GRASP discovery in rapid mode with an embedded negotiation request, if it is implemented.

4.2. Behavior of a Device Providing a Prefix

At least one device on the network must be configured with the initial pool of available prefixes mentioned in [Section 3.2](#). Apart from that requirement, any device may act as a provider of prefixes.

A device that receives a Discovery message with a PrefixManager Objective option should respond with a GRASP Response message if it contains a PrefixManager ASA. Further details of the discovery process are described in [\[RFC8990\]](#). When this ASA receives a subsequent Request message, it should conduct a GRASP negotiation sequence, using Negotiate, Confirm Waiting, and Negotiation End messages as appropriate. The Negotiate messages carry a PrefixManager Objective option, which will indicate the prefix and its length offered to the requesting ASA. As described in [\[RFC8990\]](#), negotiation will continue until either end stops it with a Negotiation End message. If the negotiation succeeds, the ASA that provides the prefix will remove the negotiated prefix from its pool, and the requesting ASA will add it. If the negotiation fails, the party sending the Negotiation End message may include an error code string.

During the negotiation, the ASA will decide at each step how large a prefix to offer. That decision, and the decision to end the negotiation, are implementation choices.

The ASA could alternatively negotiate in response to GRASP discovery in rapid mode, if it is implemented.

This specification is independent of whether the PrefixManager ASAs are all embedded in routers, but that would be a rather natural scenario. In a hierarchical network topology, a given router typically provides prefixes for routers below it in the hierarchy, and it is also likely to contain the first PrefixManager ASA discovered by those downstream routers. However, the GRASP discovery model, including its redirection feature, means that this is not an exclusive scenario, and a downstream PrefixManager ASA could negotiate a new prefix with a device other than its upstream router.

A resource shortage may cause the gateway router to request more resources in turn from its own upstream device. This would be another independent GRASP discovery and negotiation process. During the processing time, the gateway router should send a Confirm Waiting message to the initial requesting router, to extend its timeout. When the new resource becomes available, the gateway router responds with a GRASP Negotiate message with a prefix length matching the request.

The algorithm used to choose which prefixes to assign on the devices that provide prefixes is an implementation choice.

4.3. Behavior after Successful Negotiation

Upon receiving a GRASP Negotiation End message that indicates that an acceptable prefix length is available, the requesting device may use the negotiated prefix without further messages.

There are use cases where the ANI/GRASP-based prefix management approach can work together with DHCPv6-PD [RFC8415] as a complement. For example, the ANI/GRASP-based method can be used intra-domain, while the DHCPv6-PD method works inter-domain (i.e., across an administrative boundary). Also, ANI/GRASP can be used inside the domain, and DHCP/DHCPv6-PD can be used on the edge of the domain to clients (non-ANI devices). Another similar use case would be ANI/GRASP inside the domain, with RADIUS [RFC2865] providing prefixes to client devices.

4.4. Prefix Logging

Within the autonomic prefix management system, all prefix assignments are done by devices without human intervention. It may be required that all prefix assignment history be recorded -- for example, to detect or trace lost prefixes after outages or to meet legal requirements. However, the logging and reporting process is out of scope for this document.

5. Autonomic Prefix Management Objectives

This section defines the GRASP technical objective options that are used to support autonomic prefix management.

5.1. Edge Prefix Objective Option

The PrefixManager Objective option is a GRASP Objective option conforming to the GRASP specification [RFC8990]. Its name is "PrefixManager" (see Section 8), and it carries the following data items as its value: the prefix length and the actual prefix bits. Since GRASP is based on CBOR (Concise Binary Object Representation) [RFC8949], the format of the PrefixManager Objective option is described in the Concise Data Definition Language (CDDL) [RFC8610] as follows:

```
objective = ["PrefixManager", objective-flags, loop-count,
            [length, ?prefix]]

loop-count = 0..255           ; as in the GRASP specification
objective-flags /=           ; as in the GRASP specification
length = 0..128              ; requested or offered prefix length
prefix = bytes .size 16      ; offered prefix in binary format
```

The use of the "dry run" mode of GRASP is **NOT RECOMMENDED** for this objective, because it would require both ASAs to store state information about the corresponding negotiation, to no real benefit -- the requesting ASA cannot base any decisions on the result of a successful dry-run negotiation.

5.2. IPv4 Extension

This section presents an extended version of the PrefixManager objective that supports IPv4 by adding an extra flag:

```
objective = ["PrefixManager", objective-flags, loop-count, prefval]

loop-count = 0..255           ; as in the GRASP specification
objective-flags /=           ; as in the GRASP specification

prefval /= pref6val
pref6val = [version6, length, ?prefix]
version6 = 6
length = 0..128              ; requested or offered prefix length
prefix = bytes .size 16      ; offered prefix in binary format

prefval /= pref4val
pref4val = [version4, length4, ?prefix4]
version4 = 4
length4 = 0..32              ; requested or offered prefix length
prefix4 = bytes .size 4      ; offered prefix in binary format
```

Prefix and address management for IPv4 is considerably more difficult than for IPv6, due to the prevalence of NAT, ambiguous addresses [RFC1918], and address sharing [RFC6346]. These complexities might require further extending the objective with additional fields that are not defined by this document.

6. Prefix Management Parameters

An implementation of a prefix manager **MUST** include default settings of all necessary parameters. However, within a single administrative domain, the network operator **MAY** change default parameters for all devices with a certain role. Thus, it would be possible to apply an intended policy for every device in a simple way, without traditional configuration files. As noted in [Section 4.1](#), individual autonomic devices may also change their own behavior dynamically.

For example, the network operator could change the default prefix length for each type of role. A prefix management parameters objective, which contains mapping information of device roles and their default prefix lengths, **MAY** be flooded in the network, through the Autonomic Control Plane (ACP) [RFC8994]. The objective is defined in CDDL as follows:

```
objective = ["PrefixManager.Params", objective-flags, any]

loop-count = 0..255           ; as in the GRASP specification
objective-flags /=           ; as in the GRASP specification
```

The "any" object would be the relevant parameter definitions (such as the example below) transmitted as a CBOR object in an appropriate format.

This could be flooded to all nodes, and any PrefixManager ASA that did not receive it for some reason could obtain a copy using GRASP unicast synchronization. Upon receiving the prefix management parameters, every device can decide its default prefix length by matching its own role.

6.1. Example of Prefix Management Parameters

The parameters comprise mapping information of device roles and their default prefix lengths in an autonomic domain. For example, suppose an IPRAN (IP Radio Access Network) operator wants to configure the prefix length of a Radio Network Controller Site Gateway (RSG) as 34, the prefix length of an Aggregation Site Gateway (ASG) as 44, and the prefix length of a Cell Site Gateway (CSG) as 56. This could be described in the value of the PrefixManager.Params objective as:

```
[
  [{"role", "RSG"}, {"prefix_length", 34}],
  [{"role", "ASG"}, {"prefix_length", 44}],
  [{"role", "CSG"}, {"prefix_length", 56}]
]
```

This example is expressed in JSON [RFC8259], which is easy to represent in CBOR.

An alternative would be to express the parameters in YANG [RFC7950] using the YANG-to-CBOR mapping [CORE-YANG-CBOR].

For clarity, the background of the example is introduced below and can also be regarded as a use case for the mechanism defined in this document.

An IPRAN is used for mobile backhaul, including radio stations, RNCs (Radio Network Controllers) (in 3G) or the packet core (in LTE), and the IP network between them, as shown in Figure 1. The eNB (Evolved Node B) entities, the RNC, the SGW (Serving Gateway), and the MME (Mobility Management Entity) are mobile network entities defined in 3GPP. The CSGs, ASGs, and RSGs are entities defined in the IPRAN solution.

The IPRAN topology shown in Figure 1 includes Ring1, which is the circle following ASG1->RSG1->RSG2->ASG2->ASG1; Ring2, following CSG1->ASG1->ASG2->CSG2->CSG1; and Ring3, following CSG3->ASG1->ASG2->CSG3. In a real deployment of an IPRAN, there may be more stations, rings, and routers in the topology, and normally the network is highly dependent on human design and configuration, which is neither flexible nor cost-effective.

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Appendix A. Deployment Overview

This appendix includes logical deployment models and explanations of the target deployment models. Its purpose is to help in understanding the mechanism described in this document.

This appendix includes two subsections: [Appendix A.1](#) for the two most common DHCP deployment models and [Appendix A.2](#) for the PD deployment model described in this document. It should be noted that these are just examples, and there are many more deployment models.

A.1. Address and Prefix Management with DHCP

Edge DHCP server deployment requires every edge router connecting to a Customer Premises Equipment (CPE) device to be a DHCP server assigning IPv4/IPv6 addresses to CPEs -- and, optionally, IPv6 prefixes via DHCPv6-PD for IPv6-capable CPEs that are routers and have LANs behind them.

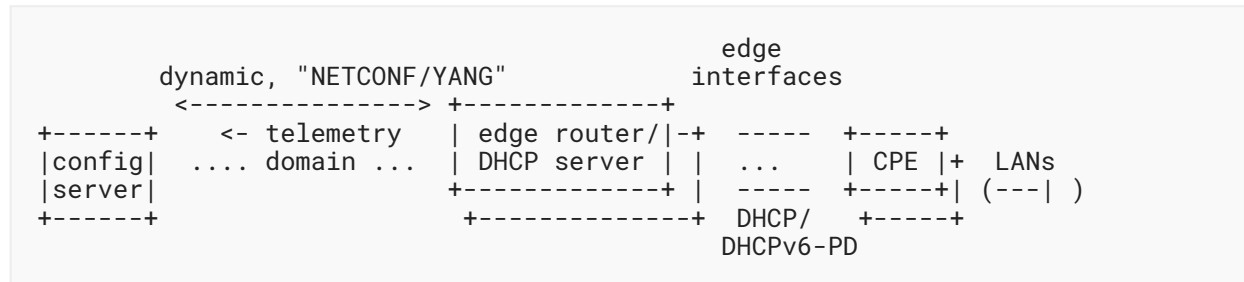


Figure 2: DHCP Deployment Model without a Central DHCP Server

This requires various coordination functions via some backend system (depicted as the "config server" in Figure 2): the address prefixes on the edge interfaces should be slightly larger than required for the number of CPEs connected so that the overall address space is best used.

The config server needs to provision edge interface address prefixes and DHCP parameters for every edge router. If prefixes that are too fine-grained are used, this will result in large routing tables across the domain shown in the figure. If prefixes that are too coarse-grained are used, address space is wasted. (This is less of a concern for IPv6, but if the model includes IPv4, it is a very serious concern.)

There is no standard that describes algorithms for how configuration servers would best perform this ongoing dynamic provisioning to optimize routing table size and address space utilization.

There are currently no complete YANG data models that a config server could use to perform these actions (including telemetry of assigned addresses from such distributed DHCP servers). For example, a YANG data model for controlling DHCP server operations is still being developed [DHCP-YANG-MODEL].

Due to these and other problems related to the above model, the more common DHCP deployment model is as follows:

the PM-ASA manages a local DHCPv6 server to assign addresses to the CPEs. A central DHCP server acting as the DHCP delegating router (according to [RFC8415]) is required. Its address can be another parameter from the GRASP objective.

- 1.b The edge router also connects via downstream interfaces to (customer managed) CPEs that are routers and act as DHCPv6 requesting routers. The need to support this could be derived from role or GRASP parameters, and the PM-ASA sets up a DHCP relay function to pass on requests to the central DHCP server as in point 1.a.
2. In a solution without a central DHCP server, the PM-ASA on the edge routers not only learns parameters from PrefixManager.Params but also utilizes GRASP to request/negotiate actual IPv6 prefix delegation via the GRASP PrefixManager objective, as described in more detail below. In the simplest case, these prefixes are delegated via this GRASP objective from the PM-ASA in the central device. This device must be provisioned initially with a large pool of prefixes. The delegated prefixes are then used by the PM-ASA on the edge routers to configure prefixes on their downstream interfaces to assign addresses via RA/SLAAC to host CPEs. The PM-ASA may also start local DHCP servers (as in point 1.a) to assign addresses via DHCP to the CPEs from the prefixes it received. This includes both host CPEs requesting IPv6 addresses and router CPEs that request IPv6 prefixes. The PM-ASA needs to manage the address pool(s) it has requested via GRASP and allocate sub-address pools to interfaces and the local DHCP servers it starts. It needs to monitor the address utilization and accordingly request more address prefixes if its existing prefixes are exhausted, or return address prefixes when they are unneeded.

This solution is quite similar to the previous IPv6 DHCP deployment model without a central DHCP server, and ANI/ACP/GRASP and the PM-ASA do provide the automation to make this approach work more easily than is possible today.

3. The address pools from which prefixes are allocated do not all need to be taken from one central location. An edge-router PM-ASA that received a big (short) prefix from a central PM-ASA could offer smaller sub-prefixes to a neighboring edge-router PM-ASA. GRASP could be used in such a way that the PM-ASA would find and select the objective from the closest neighboring PM-ASA, therefore allowing aggregation to be maximized: a PM-ASA would only request further smaller prefixes when it exhausts its own pool (from the central location) and cannot get further large prefixes from that central location anymore. Because the overflow prefixes taken from a topologically nearby PM-ASA, the number of longer prefixes that have to be injected into the routing tables is limited and the topological proximity increases the chances that aggregation of prefixes in the IGP can most likely limit the geography in which the longer prefixes need to be routed.
4. Instead of peer-to-peer optimization of prefix delegation, a hierarchy of PM-ASAs can be built (indicated in Figure 4 via a dotted intermediate router). This would require additional parameters in the PrefixManager objective to allow the creation of a hierarchy of PM-ASAs across which the prefixes can be delegated.
5. In cases where CPEs are also part of the ANI domain (e.g., "managed CPEs"), then GRASP will extend into the actual customer sites and can also run a PM-ASA. All the options described in points 1 to 4 above would then apply to the CPE as the edge router, with the major changes being that (a) a CPE router will most likely not need to run DHCPv6-PD itself, but only DHCP address assignment and (b) the edge routers to which the CPE connects would most likely

become ideal places on which to run a hierarchical instance of PD-ASAs, as outlined in point 1.

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